

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

061352-0094

U.S. APPLIC. NO. (if known, see 37 CFR 1.5)  
10/528,078

INTERNATIONAL APPLICATION NO

PCT/JP02/09595

INTERNATIONAL FILING DATE

September 19, 2002

PRIORITY DATE CLAIMED

TITLE OF INVENTION

LIGHTING UNIT AND LIQUID CRYSTAL DISPLAY DEVICE USING THE SAME

APPLICANTS FOR DO/EO/US

Toshihiko URA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not transmitted by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendment has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English translation of the International Application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  - 1) Copy of Notification of Missing Requirements

U.S. APPLIC. NO. (if known, see 37 CFR 1.50) 10/528,678		INTERNATIONAL APPLICATION NO. PCT/JP02/09595		ATTORNEY'S DOCKET NUMBER 061352-0094	
				<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>
21. <input type="checkbox"/> The following fees are submitted:					
<input type="checkbox"/> Basic National Stage Fee		\$300		\$0	
22. <input type="checkbox"/> Examination Fee					
If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)				\$100	
All other situations				\$200	
23. <input type="checkbox"/> Search Fee					
Search fee (37 CFR 1.445(1)(2)) has been paid on the international application to the USPTO as an International Searching Authority				\$100	
International Search Report prepared and provided to the Office				\$400	
All other situations				\$500	
<b>TOTAL OF 21, 22 and 23 =</b>				<b>\$0</b>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction Thereof (round up to a whole number)	RATE		
-100	/50=		X\$250	\$0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	-20 =		x \$50.00	\$0	
Independent Claims	-3 =		x \$200.00	\$0	
Multiple dependent claim(s) (if applicable)			+ \$360.00	\$0	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$0</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				\$0	
<b>SUBTOTAL =</b>				<b>\$0</b>	
Processing fee of \$130.00 for furnishing the English translation later than the 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$0
<b>TOTAL NATIONAL FEE =</b>				<b>\$0</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$40.00
<b>TOTAL FEES ENCLOSED =</b>				<b>\$40.00</b>	
12/28/2005 AKAYPAGH 00000105 500417 10528678				Amount to be refunded	\$0
01 FC:8021 40.00 DA				Amount to be charged	\$40.00
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>500417</u> in the amount of <u>\$40.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u> . A duplicate copy of this sheet is enclosed.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b> <b>SEND ALL CORRESPONDENCE TO:</b> Customer Number 20277 Telephone: 202.756.8000 Facsimile: 202.756.8087					
			SIGNATURE Michael E. Fogarty	August 30, 2005 DATE	
			NAME 36,139		
REGISTRATION NUMBER					

BASED ON FORM PTO-1390 (Rev. 2-2005)

Docket No.: 061352-0094



**IAP10 Rec'd PCTO 22 DEC 2005**  
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	:	Customer Number: 20277
Toshihiko URA	:	Confirmation Number: Not yet assigned
Application No.: 10/528,078	:	Group Art Unit: Not yet assigned
Filed: March 17, 2005	:	Examiner: Not yet assigned

For: LIGHTING UNIT AND LIQUID CRYSTAL DISPLAY DEVICE USING THE SAME

**REQUEST FOR OFFICIAL FILING RECEIPT**

Mail Stop OFR  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The above-referenced patent application was filed in the U.S. Patent and Trademark Office on August 30, 2005, as evidenced by the attached copies of the return receipt postcard and Form PTO-1390. To date, the undersigned has not received an Official Filing Receipt regarding this application.

It is respectfully requested that the undersigned be furnished with an Official Filing Receipt as soon as possible.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Michael E. Fogarty  
Registration No. 36,139

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
Phone: 202.756.8000 MEF:gav  
Facsimile: 202.756.8087  
**Date: December 22, 2005**

**Please recognize our Customer No. 20277  
as our correspondence address.**

Applicant: Toshihiko URA Docket No. 061352-0094 Serial No. 10/528,078

Title: LIGHTING UNIT AND LIQUID CRYSTAL DISPLAY DEVICE USING THE SAME

Date Sent: 1/30/2005 ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ 1st Class Mail ☐ Patent No. ☐ Express Mail No.

☒ Transmittal Letter (SECOND SUBMISSION)

New Patent App ☐ Utility ☐ Design ☐ Cont. ☐ CIP ☐ Div. ☒ PCT ☐ RCE ☐ Prov

Other: ☐ Letter submitting \_\_\_\_\_ pages of drawings

☐ pages of Specification ☐ Req. for Approval of Drawing Amendments

☐ pages of Claims ☐ Req. for Oral Hearing

☐ pages of Abstract ☐ Not. of Appeal ☐ Appeal Brief ☐ Reply Brief

☐ pages of Formal/Informal Drawings ☐ Rule 312 Amendment/Letter

☐ Small Entity ☒ Large Entity ☐ Req. for Acknowledgement of Cited Art

☒ Declaration/Power of Attorney ☐ Issue Fee

☒ Recordation of Assignment ☐ Publication Fee

☐ Information Disclosure Statement ☐ Req. for Certificate of Correction

Form PTO 1449 ☐ Maintenance Fee for \_\_\_\_\_ years after grant

copies of cited references ☐ Fee Address Indication Form

☐ Preliminary Amendment ☐ Terminal Disclaimer

☒ Response to Missing Parts Notice ☐ Petition to Commissioner

☒ Resp. to Notice to Correct App. Papers ☐ Status Inquiry

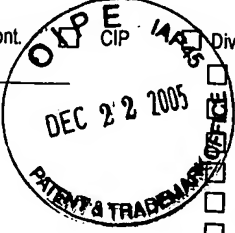
☐ Certified Copy of Priority Doc. ☐ Other \_\_\_\_\_

☐ Claim for Convention Priority

☐ Response/Amendment to Office Action of

☐ Request for \_\_\_\_\_ month Extension of Time

Check for \$ ☒ Charge Deposit Acct. 500417 \$40.00 Atty Init. MEF Tkpr. # 3328 Secy. or PL: ETEnglish



CMS Descrip.: 4-40

THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.